

VAN ZANDT COUNTY, TEXAS

INVITATION TO BID - Road and Bridge Materials

RETURN BID TO: VAN ZANDT COUNTY AUDITOR'S OFFICE
121 EAST DALLAS, ROOM 102
CANTON, TEXAS 75103

The enclosed INVITATION TO BID (ITB) and accompanying SPECIFICATIONS AND BID SHEETS are for your convenience in bidding the enclosed referenced products and/or services for VAN ZANDT COUNTY for the contract year of May 1, 2020 through April 30, 2021.

Sealed bids shall be received no later than: 2:00 P.M., March 12, 2020.
MARK ENVELOPE: "Road and Bridge Materials Bid"

VAN ZANDT COUNTY appreciates your time and effort in preparing a bid. Please note that all bids must be received at the designated location by the deadline shown. Bids received after the deadline will not be considered for the award of the contract(s), and shall be considered void and unacceptable. **Opening is scheduled to be held in the Auditor's office, Courthouse, Canton, Texas at 2:00 PM, Thursday, March 12, 2020.** You are invited to attend.

Van Zandt County is aware of the time and effort you expend in preparing and submitting bids to the county. Please let us know of any bid requirements which are causing you difficulty in responding to our bids. We want to make the process as easy and painless as possible so that all responsible vendors can compete for the county's business. If you do not wish to bid at this time, but wish to remain on the bid list for this type commodity, please submit a "No Bid". If you wish to be removed from the bid list, please let us know. Anyone currently on our bid list who does not respond to this request by submitting a bid or "No Bid" will be removed from the bid list.

Contracts will be awarded at the meeting of the Van Zandt County Commissioners Court in the County Courtroom at 9 a.m., Wednesday, April 15, 2020. To obtain results, or if you have any questions, please contact the **VAN ZANDT COUNTY AUDITOR'S OFFICE at 903-567-2171.**

VAN ZANDT COUNTY
INVITATION TO BID
INSTRUCTIONS/TERMS OF CONTRACT

Road and Bridge Material

By order of the Commissioners Court of Van Zandt County, Texas, sealed bids will be received for:

Road and Bridge Material

TO PROVIDE for a contract(s) for the purchase of Road and Bridge Material beginning May 01, 2020 thru April 30, 2021.

IT IS UNDERSTOOD that the Commissioners Court of Van Zandt County reserves the right to reject any or all bids for any or all products and/or services covered in this bid request and to waive informalities or defects in bids or to accept such bids as it shall deem to be in the best interests of Van Zandt County.

BIDS MUST BE submitted on the bid sheet forms included for that purpose in this packet. Bids shall be placed in a sealed envelope and marked clearly on the outside as shown below.
FACSIMILE TRANSMITTALS SHALL NOT BE ACCEPTED.

SUBMISSION OF BIDS: Sealed bids shall be submitted to:

VAN ZANDT COUNTY AUDITOR
VAN ZANDT COUNTY COURTHOUSE
121 EAST DALLAS, ROOM 102
CANTON, TEXAS 75103

No later than 2:00 PM, March 12, 2020.

MARK ENVELOPE: "Road and Bridge Materials Bid"

ALL BIDS MUST BE RECEIVED IN THE COUNTY AUDITOR'S OFFICE BEFORE OPENING DATE AND TIME.

FUNDING: Funds for payment have been provided through the Van Zandt County budget approved by the Commissioners Court for this fiscal year only. State of Texas statutes prohibit the obligation and expenditure of public funds beyond the fiscal year for which a budget has been approved. Therefore, anticipated orders or other obligations that may arise past the end of the current Van Zandt County fiscal year shall be subject to budget approval.

LATE BIDS: Bids received in the County Auditor's office after submission deadline will be considered void and unacceptable. Van Zandt County is not responsible for lateness or non-delivery of mail, carrier, etc., and the date/time stamp in the Auditor's office shall be the official time of receipt.

ALTERING BIDS: Bids cannot be altered or amended after submission deadline. Any interlineations, alteration, or erasure made before opening time must be initialed by the signer of the bid, guaranteeing authenticity.

WITHDRAWAL OF BID: A bid may not be withdrawn or canceled by the bidder without the permission of the county for a period of ninety (90) days following the date designated for the receipt of bids, and bidder so agrees upon submission of their bid.

SALES TAX: Van Zandt County is exempt by law from payment of Texas Sales Tax.

BID AWARD: Van Zandt County reserves the right to award bids on the lump sum or unit price basis, whichever is in the best interest of the county.

CONTRACT: The bid, when properly accepted by Van Zandt County, shall constitute a contract equally binding between the successful bidder and Van Zandt County. No different or additional terms will become a part of this contract with the exception of Change Orders.

CHANGE ORDERS: No oral statement of any person shall modify or otherwise change or affect the terms, conditions or specifications stated in the resulting contract. All change orders to the contract will be made in writing by the Van Zandt County Auditor.

IF DURING THE life of the contract, the successful bidder's net prices to other customers for items awarded herein are reduced below the contracted price, it is understood and agreed that the benefits of such reduction shall be extended to Van Zandt County.

DELIVERY: All delivery and freight charges (FOB Van Zandt County designated location) are to be included in the bid price unless otherwise stated in the specifications.

CONFLICT OF INTEREST: No public official shall have interest in this contract, in accordance with Vernon's Texas Codes Annotated, Local Government Code Title 5, Subtitle C, Chapter 171.

ETHICS: The bidder shall not offer or accept gifts or anything of value nor enter into any business arrangement with any employee, official or agent of Van Zandt County.

EXCEPTIONS/SUBSTITUTIONS: All bids meeting the intent of this invitation to bid will be considered for award. Bidders taking exception to the specification, or offering substitutions, shall state these exceptions by attachment as part of the bid. The absence of such a list shall indicate that the bidder has not taken exceptions and County shall hold the bidder responsible to perform in strict accordance with the specifications of the invitation. Van Zandt County Commissioners Court reserves the right to accept any and all or none of the exception(s)/substitution(s) deemed to be in the best interest of the county.

DESCRIPTIONS: Any reference to model and/or make/manufacture used in bid specifications is descriptive, not restrictive. It is used to indicate the type and quality desired. Bids on items of like quality will be considered.

ADDENDA: Any interpretations, corrections or changes to this Invitation to Bid and Specifications will be made by addenda. Sole issuing authority of addenda shall be vested in the Van Zandt County Auditor. Addenda will be mailed to all who are known to have received a copy of this Invitation to Bid. Bidders shall acknowledge receipt of all addenda.

BID MUST COMPLY with all federal, state, county and local laws concerning these types of service.

DESIGN, STRENGTH, QUALITY of materials must conform to the highest standards of manufacturing practice.

MINIMUM STANDARDS FOR RESPONSIBLE PROSPECTIVE BIDDERS: A prospective bidder must affirmatively demonstrate bidder's responsibility. A prospective bidder must meet the following requirements:

1. Have adequate financial resources, or the ability to obtain such resources as required;
2. be able to comply with the required or proposed delivery schedule;
3. Have a satisfactory record of performance;
4. Have a satisfactory record of integrity and ethics;
5. Be otherwise qualified and eligible to receive an award.

Van Zandt County may request representation and other information sufficient to determine bidder's ability to meet these minimum standards listed above.

REFERENCES: Van Zandt County requests bidder to supply, with this ITB, a list of at least three (3) references where like services have been supplied by their firm. Include the name of firm, address, telephone number and name of representative.

BIDDER SHALL PROVIDE with this bid response, all documentation required by this ITB. Failure to provide this information may result in rejection of bid. If a bidder is not able to meet availability and delivery of any product (materials), the commissioners' may order from the second best bidder. After the project is completed the current material bid remains with the current awarded bidder.

INSURANCE REQUIREMENTS The Seller shall provide VAN Zandt County prior to the start of any services and/or goods with a certificate of insurance and agrees to maintain at the Seller's expense such insurance with companies qualified to do business in Texas.

TYPES AND MINIMUM LIMITS OF INSURANCE

- a. Worker's Compensation Insurance as required by the **STATE OF TEXAS STATUTORY**.
- b. Employer's liability Insurance with a limit of not less than **\$500,000.00**.
- c. Comprehensive general liability with the limits of **\$1,000,000.00**.
- d. Auto liability limits **\$1,000,000.00**.
- e. Deductible shall be **\$5000.00** or less on each of the above listed coverage.

Van Zandt County shall be named as an **Additional Insured** and held harmless as respects to the service or work performed.

FAILURE TO COMPLY WITH LAWFUL REQUIREMENTS OR ADEQUATE LIABILITY REQUIREMENTS MAY RESULT IN DELAY OF PAYMENTS AND /OR CANCELLATION OF THE AWARD.

SUCCESSFUL BIDDER SHALL defend, indemnify and save harmless Van Zandt County and all its officers, agents and employees from all suits, actions, or other claims of any character, name and description brought for or on account of any injuries or damages received or sustained by any person, persons, or property on account of any negligent act or fault of the successful bidder, or of any agent, employee, subcontractor or supplier in the execution of, or performance under, any contract which may result from bid award. Successful bidder indemnifies and will indemnify and save harmless Van Zandt County from liability, claim or demand on their part, agents, servants, customers, and/or employees whether such liability, claim or demand arise from event or casualty happening or within the occupied premises themselves or happening upon or in any of the halls, elevators, entrances, stairways or approaches of or to the facilities within which the occupied premises are located. Successful bidder shall pay any judgment with costs which may be obtained against Van Zandt County growing out of such injury or damages.

WAGES: Successful bidder shall pay or cause to be paid, without cost or expense to Van Zandt County, all Social Security, Unemployment and Federal Income Withholding Taxes of bidders employees and all such employees shall be paid wages and benefits as required by Federal and/or State Law.

TERMINATION OF CONTRACT: This contract shall remain in effect until contract expires, delivery and acceptance of products and/or performance of services ordered or terminated by either party with a thirty (30) days written notice prior to any cancellation. The successful bidder must state therein the reasons for such cancellation. Van Zandt County reserves the right to award any canceled contract to the next lowest and best bidder as it deems to be in the best interest of the county.

TERMINATION FOR DEFAULT: Van Zandt County reserves the right to enforce the performance of this contract in any manner prescribed by law or deemed to be in the best interest of the County in the event of breach or default of this contract. Van Zandt County reserves the right to terminate the contract immediately in the event the successful bidder:

1. Fails to meet schedules;
2. Defaults in the payment of any fees; or
3. Otherwise does not perform in accordance with these specifications.

In the event the successful bidder shall fail to perform, keep or observe any of the terms and

conditions to be performed, kept or observed, Van Zandt County shall give the successful bidder written notice of such default; and in the event said default is not remedied to the satisfaction and approval of the county within two (2) working days of receipt of such notice by the successful bidder, default will be declared and all the successful bidder's rights shall terminate.

Bidder, in submitting this bid, agrees that Van Zandt County shall not be liable to prosecution for damages in the event that the county declares the bidder in default.

NOTICE: Any notice provided by this bid (or required by Law) to be given to the successful bidder by Van Zandt County shall be conclusively deemed to have been given and received on the next day after such written notice has been deposited in the mail in Canton, Texas, by Registered or Certified Mail with sufficient postage affixed thereto, addressed to the successful bidder at the address so provided; provided this shall not prevent the giving of actual notice in any other manner.

PATENTS/COPYRIGHTS: The successful bidder agrees to protect Van Zandt County from claims involving infringements of patents and/or copyrights.

PURCHASE ORDER: A purchase order(s) shall be generated by Van Zandt County to the successful bidder(s) for all purchases that total five hundred dollars (\$500.00) or more. The purchase order number must appear on all itemized invoices and packing slips. Van Zandt County will not be held responsible for any orders placed/delivered without a valid current purchase order number.

PACKING SLIPS or other suitable shipping documents shall accompany each special order shipment and shall show: (a) name and address of successful bidder, (b) name and address of receiving department and/or delivery location, (c) Van Zandt County Purchase Order number, and (d) descriptive information as to the item(s) delivered, including product code, item number, quantity, number of containers, etc..

INVOICES shall show all information as stated above, shall be issued for each purchase order, and shall be mailed directly to the Van Zandt County Treasurer, 121 East Dallas, Room 101, Canton, Texas 75103.

PAYMENT will be made upon receipt and acceptance by the county of items ordered and receipt of a valid invoice, in accordance with the State of Texas Prompt Payment Act, Article 601f V.T.C.S.

ITEMS supplied under this contract shall be subject to the county's approval. Items found defective or not meeting specifications shall be picked up and replaced by the successful bidder at the next service date at no expense to the county. If item is not picked up within one (1) week after notification, the item will become a donation to the county for disposition.

SAMPLES: When requested, samples shall be furnished free of expense to Van Zandt County.

WARRANTY: Successful bidder shall warrant that all items/services shall conform to the proposed specifications and/or all warranties as stated in the Uniform Commercial Code and be free from all defects in material, workmanship and title.

REMEDIES: The successful bidder and Van Zandt County agree that both parties have all rights, duties and remedies available as stated in the Uniform Commercial Code.

VENUE: This agreement will be governed and construed according to the laws of the State of Texas. This agreement is preferable in Van Zandt County, Texas.

ASSIGNMENT: The successful bidder shall not sell, assign, transfer or convey this contract, in whole or in part, without the written consent of Van Zandt County.

SILENCE OF SPECIFICATION: The apparent silence of these specifications as to any detail or to the apparent omission of a detailed description concerning any point, shall be regarded as meaning that only the best commercial practices are to prevail. All interpretations of these specifications shall be made on the basis of this statement.

BID AFFIDAVIT: Each prospective bidder shall complete and return the enclosed bid affidavit with your bid. Failure to do so may result in the rejection of your bid.

ANY QUESTIONS concerning this Invitation to Bid and Specifications should be directed to the Van Zandt County Auditor's Office at 903-567-2171.

BID AFFIDAVIT

The undersigned certifies that the bid prices contained in this bid have been carefully reviewed and are submitted as correct and final. Bidder further certifies and agrees to furnish any and/or all commodities upon which prices are extended at the price offered, and upon which prices are contained in the specifications of the Invitation for Bid. The period of acceptance of this bid will be _____ calendar days from the date of the bid opening.

STATE OF _____ COUNTY OF _____

BEFORE ME, the undersigned authority, a Notary Public in and for the State of _____,

On this day personally appeared _____, who after being by me duly

Sworn, did depose and say:

"I, _____ am a duly authorized officer/agent for
_____ and have been duly authorized to execute the foregoing
on behalf of said _____.

I hereby certify that the foregoing bid has not been prepared in collusion with any other bidder or other person or persons engaged in the same line of business prior to the official opening of this bid. Further, I certify that the bidder is not now, nor has been for the past six (6) months, directly or indirectly concerned in any pool or agreement or combination, to control the price of services/commodities bid on, or to influence any person or persons to bid or not to bid thereon."

Name and address of bidder: _____

Telephone #: _____

By: _____ Title: _____
(Print name)

Signature: _____ SUBSCRIBED AND

SWORN to before me by the above-named _____

on this the _____ day of _____, 20 ____ Notary Public in

and for the State of _____.

SPECIFICATIONS FOR CRUSHED ROCK

For

VAN ZANDT COUNTY

This material is to be crushed rock material acceptable to the commissioner with maximum size of pieces not to exceed 1" or less. It must meet standards of TDHPT, Item 248.4 (Type F).

Bid Unit: Ton

Term of Bid: Twelve (12) months beginning May 01, 2020 and ending April 30, 2021

Bid with delivery as follows:

Grand Saline:	Barn
Phalba:	Barn
Wills Point:	Barn
Ben Wheeler:	Barn
All Precincts:	County Wide

Bid both a delivered and undelivered price.

Certificates of measurement/weight will be required.

Random testing will be made to confirm compliance with specifications.

County reserves the right to reject material delivered not in compliance with specifications at vendor's expense.

Bids must be submitted on an approved bid form.

BID SHEET-CRUSHED ROCK

FOR

VAN ZANDT COUNTY

Please bid the materials you are willing to supply to Van Zandt County by entering a price in the appropriate space below.

Product	Unit	Delivered Price	Undelivered Price	Location
Crushed Rock	Ton	\$	\$	Grand Saline
Crushed Rock	Ton	\$	\$	Phalba
Crushed Rock	Ton	\$	\$	Wills Point
Crushed Rock	Ton	\$	\$	Ben Wheeler
Crushed Rock	Ton	\$	\$	County Wide

Delivery will be made after receipt of order from the Commissioner, as the Commissioner designates and to any location within the Commissioner's precinct.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____

SIGNED: _____

PH. NO: _____

COMPANY NAME: _____

ADDRESS: _____

SPECIFICATIONS FOR CRUSHED ROCK

For

VAN ZANDT COUNTY

This material is to be crushed rock material acceptable to the commissioner with maximum size of pieces not to exceed 1 3/4". It must meet standards of TDHPT, Item 248.4 (Type F).

Bid Unit: Ton

Term of Bid: Twelve (12) months beginning May 01, 2020 and ending April 30, 2021.

Bid with delivery as follows:

Grand Saline:	Barn
Phalba:	Barn
Wills Point:	Barn
Ben Wheeler:	Barn
All Precincts:	County Wide

Bid both a delivered and undelivered price.

Certificates of measurement/weight will be required.

Random testing will be made to confirm compliance with specifications.

County reserves the right to reject material delivered not in compliance with specifications at vendor's expense.

Bids must be submitted on an approved bid form.

BID SHEET-CRUSHED ROCK

FOR

VAN ZANDT COUNTY

Please bid the materials you are willing to supply to Van Zandt County by entering a price in the appropriate space below.

Product	Unit	Delivered Price	Undelivered Price	Location
Crushed Rock	Ton	\$	\$	Grand Saline
Crushed Rock	Ton	\$	\$	Phalba
Crushed Rock	Ton	\$	\$	Wills Point
Crushed Rock	Ton	\$	\$	Ben Wheeler
Crushed Rock	Ton	\$	\$	County Wide

Delivery will be made after receipt of order from the Commissioner, as the Commissioner designates and to any location within the Commissioner's precinct.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____ SIGNED: _____

PH. NO: _____ COMPANY NAME: _____

ADDRESS: _____

SPECIFICATIONS FOR CRUSHED ROCK
For

VAN ZANDT COUNTY

This material is to be crushed rock material acceptable to the commissioner with maximum size of pieces not to exceed 3/4" or less. It must meet standards of TDHPT, Item 248.4 (Type F).

Bid Unit: Ton

Term of Bid: Twelve (12) months beginning May 01, 2020 and ending April 30, 2021.

Bid with delivery as follows:

Grand Saline:	Barn
Phalba:	Barn
Wills Point:	Barn
Ben Wheeler:	Barn
All Precincts:	County Wide

Bid both a delivered and undelivered price.

Certificates of measurement/weight will be required.

Random testing will be made to confirm compliance with specifications.

County reserves the right to reject material delivered not in compliance with specifications at vendor's expense.

Bids must be submitted on an approved bid form.

BID SHEET-CRUSHED ROCK

FOR

VAN ZANDT COUNTY

Please bid the materials you are willing to supply to Van Zandt County by entering a price in the appropriate space below.

Product	Unit	Delivered Price	Undelivered Price	Location
Crushed Rock	Ton	\$	\$	Grand Saline
Crushed Rock	Ton	\$	\$	Phalba
Crushed Rock	Ton	\$	\$	Wills Point
Crushed Rock	Ton	\$	\$	Ben Wheeler
Crushed Rock	Ton	\$	\$	County Wide

Delivery will be made after receipt of order from the Commissioner, as the Commissioner designates and to any location within the Commissioner's precinct.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____ SIGNED: _____

PH. NO: _____ COMPANY NAME: _____

ADDRESS: _____

SPECIFICATIONS FOR HAULING ONLY
FROM TRINITY MATERIALS
WILLS POINT, TEXAS
For

VAN ZANDT COUNTY

Bid Unit: Ton

Term of Bid: Twelve (12) months beginning May 01, 2020 and ending April 30, 2021.

Bid for pickup at Trinity Materials, Wills Point, Texas with delivery as follows:

Grand Saline:	Barn
Phalba:	Barn
Wills Point:	Barn
Ben Wheeler	Barn
All Precincts:	County Wide

Certificates of measurement/weight will be required.

Proof of being a certified carrier must be submitted with bid.

County reserves the right to reject material delivered not in compliance with specifications at vendor's expense.

Bids must be submitted on an approved bid form.

**BID SHEET-HAUL ONLY FROM
TRINITY MATERIALS
WILLS POINT, TEXAS
FOR**

VAN ZANDT COUNTY

Please bid the materials or services you are willing to supply to Van Zandt County by entering a price in the appropriate space below.

PRODUCT	UNIT	HAUL ONLY PRICE	LOCATION
Crushed Rock	Ton	\$	Grand Saline
Crushed Rock	Ton	\$	Phalba
Crushed Rock	Ton	\$	Wills Point
Crushed Rock	Ton	\$	Ben Wheeler
Crushed Rock	Ton	\$	County Wide

Delivery will be made after receipt of order from the Commissioner, as the Commissioner designates and to any location within the Commissioner's precinct.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____

SIGNED: _____

PH. NO: _____

COMPANY NAME: _____

ADDRESS: _____

SPECIFICATIONS FOR ROAD OIL

For

VAN ZANDT COUNTY

Road Oil shall be refined and blended in such a way that the asphalt content shall be from 65% - 80% and the water and sediment content be no greater than 2%.

Bid Unit: Barrel

Term of Bid: Twelve (12) months beginning May 01, 2020 and ending April 30, 2021.

Bidder must enter a bid for each of the four precincts.

Certificates of measurement/weight will be required.

Random testing will be made to confirm compliance with specifications.

Bids must be submitted on an approved bid form.

County reserves the right to reject material delivered not in compliance with specifications at vendor's expense.

Delivered oil must be spread by vendor.

VAN ZANDT COUNTY

BID SHEET

ROAD OIL

Please bid the materials you are willing to supply to Van Zandt County by entering a bid price in the appropriate box or boxes below. Enter a price for each precinct.

Product	Unit	Delivered Price	Undelivered Price	Location
Road Oil	Barrel	\$	\$	Precinct #1
Road Oil	Barrel	\$	\$	Precinct #2
Road Oil	Barrel	\$	\$	Precinct #3
Road Oil	Barrel	\$	\$	Precinct #4
Road Oil	Barrel	\$	\$	County Wide

Delivery will be made after receipt of order from Commissioner, as the Commissioner designates and to any location within the Commissioner's precinct.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____

SIGNED: _____

PH. NO.: _____

COMPANY NAME: _____

ADDRESS: _____

SPECIFICATIONS FOR ROAD OIL-AC 1.5

For

VAN ZANDT COUNTY

Road Oil: TDHPT Classification AC-1.5 or equivalent grade as approved by commissioner.

Bid Unit: Barrel

Term of Bid: Twelve (12) months beginning May 01, 2020 and ending April 30, 2021.

Bid both a delivered and undelivered price for each of four precincts.

Certificates of measurement/weight will be required.

Random testing will be made to confirm compliance with specifications.

County reserves the right to reject material delivered not in compliance with specifications at vendor's expense.

Bids must be submitted on an approved bid form.

Delivered oil must be spread by vendor.

VAN ZANDT COUNTY

BID SHEET

ROAD OIL -- AC 1.5

Please bid the materials you are willing to supply to Van Zandt County by entering a bid price in the appropriate box or boxes below. Enter a price for each precinct.

Product	Unit	Delivered Price	Undelivered Price	Location
Road Oil AC 1.5	Barrel	\$	\$	Precinct #1
Road Oil AC 1.5	Barrel	\$	\$	Precinct #2
Road Oil AC 1.5	Barrel	\$	\$	Precinct #3
Road Oil AC 1.5	Barrel	\$	\$	Precinct #4
Road Oil AC 1.5	Barrel	\$	\$	County Wide

Delivery will be made after receipt of order from Commissioner, as the Commissioner designates and to any location within the Commissioner's precinct.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____

SIGNED: _____

PH. NO.: _____

COMPANY NAME: _____

ADDRESS: _____

SPECIFICATIONS FOR RAILROAD TANK CAR CULVERTS

For

VAN ZANDT COUNTY

Tank car culverts shall have a minimum wall thickness no less than 1/2 inch.

Bid Unit: Each

Term of Bid: Twelve (12) months beginning May 01, 2020 and ending April 30, 2021.

Bid delivered price county-wide for following sizes:

5 feet
6 feet 6 inches
7 feet 3 inches
7 feet 4 inches - 7 feet 8 inches
7 feet 9 inches - 8 feet 11 inches
9 feet - 9 feet 11 inches
10 feet

Ends must be cut off to within 1 inch of original weld.

Certificates of measurement/weight will be required.

Random testing will be made to confirm compliance with specifications.

County reserves the right to reject material delivered not in compliance with specifications at vendor's expense.

If tank cars are not available within ten (10) working days from the time of ordered, the county will seek cars from alternate vendor.

Bids must be submitted on an approved bid form.

BID SHEET-RAILROAD TANK CAR CULVERTS

FOR

VAN ZANDT COUNTY

Please bid the materials you are willing to supply to Van Zandt County by entering a price in the appropriate space below.

PRODUCT	UNIT	DELIVERED PRICE	LOCATION
5'	Feet	\$	County Wide
6'6"	Feet	\$	County Wide
7'3"	Feet	\$	County Wide
7'4"	Feet	\$	County Wide
7'9"	Feet	\$	County Wide
9'	Feet	\$	County Wide
10'	Feet	\$	County Wide

Delivery will be made within 5 days after receipt of order from the Commissioner, or as the Commissioner designates and to any location within the Commissioner's precinct.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____

SIGNED: _____

PH. NO.: _____

COMPANY NAME: _____

ADDRESS: _____

SPECIFICATIONS FOR PEA GRAVEL 3/8" (washed)

For

VAN ZANDT COUNTY

Pea gravel shall be Grade 4 or 5 as specified in TDHPT Item 302.

Bid Unit: Ton

Term of Bid: Twelve (12) months beginning May 01, 2020 and ending April 30, 2021.

Bid with delivery to each precinct separately.

Certificates of measurement/weight will be required.

Random testing will be made to confirm compliance with specifications.

County reserves the right to reject material delivered not in compliance with specifications at vendor's expense.

Bids must be submitted on an approved bid form.

VAN ZANDT COUNTY
BID SHEET
PEA GRAVEL 3/8" (washed)

Pea Gravel	Unit	Delivered Price	Undelivered Price	Location
				Grand Saline
				Phalba
				Wills Point
				Ben Wheeler
				County Wide

*** Pea Gravel Grade will be specified at the time commissioner orders**

Delivery will be made after receipt of order from the Commissioner, as the Commissioner designates and to any location within the Commissioner's precinct.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____

SIGNED: _____

PH. NO.: _____

COMPANY NAME: _____

ADDRESS: _____



Van Zandt County Bid Sheet – Various Road Material

Please bid the materials you are willing to supply Van Zandt County by entering a bid price in the appropriate box or boxes below. Enter a price for each precinct.

(PLEASE BID BY THE TON)

	UNDELIVERED	GRAND SALINE PCT 1	PHALBA PCT 2	WILLS POINT PCT 3	BEN WHEELER PCT 4
OIL SAND	\$	\$	\$	\$	\$
TYPE D HOT MIX	\$	\$	\$	\$	\$
TYPE B HOT MIX	\$	\$	\$	\$	\$
SSM	\$	\$	\$	\$	\$
ASPPM	\$	\$	\$	\$	\$
COLD MIX	\$	\$	\$	\$	\$
ULTRA TACK	\$	\$	\$	\$	\$
COMMENTS					

Delivery will be made within 5 days after receipt of order from the Commissioner, or as the Commissioner designates and to any location within the Commissioner's precinct.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____

SIGNED: _____

PH. NO.: _____

COMPANY NAME: _____

ADDRESS: _____

SPECIFICATIONS FOR CREOSOTE LUMBER

For

VAN ZANDT COUNTY

Dimension lumber and posts are to meet TDHPT standards, AWPAP1 or better pressure treated grade 1 creosote lumber, 10# per cubic foot and pilings 12# per cubic foot.

Bid Unit: Each

Term of Bid: Twelve (12) months beginning May 01, 2020 and ending April 30, 2021.

Bid the following sizes:

2 X 6 - 16'

2 X 8 - 16'

2 X 10 - 16'

2 X 12 - 16'

3 X 12 - 16'

4 X 10 - 16'

8 X 10 - 16'

6" Top Piling

8" Top Piling

10" Top Piling

Bid both a delivered and undelivered price county-wide.

Random testing will be made to confirm compliance with specifications.

County reserves the right to reject material delivered not in compliance with specifications at vendor's expense.

Bids must be submitted on an approved bid form.

BID SHEET-CREOSOTE LUMBER

FOR

VAN ZANDT COUNTY

Please bid the materials you are willing to supply to Van Zandt County by entering a price in the appropriate space below.

Product	Unit	Delivered Price	Undelivered Price	Location
2 X 6-16'	Each	\$	\$	County Wide
2 X 8- 16'	Each	\$	\$	County Wide
2 X 10-16'	Each	\$	\$	County Wide
2 X 12-16'	Each	\$	\$	County Wide
3 X 12-16'	Each	\$	\$	County Wide
4 X 10-16'	Each	\$	\$	County Wide
8 X 10-16'	Each	\$	\$	County Wide
6" Top Piling	L. F.	\$	\$	County Wide
8" Top Piling	L. F.	\$	\$	County Wide
10 Top Piling	L. F.	\$	\$	County Wide

Delivery will be made within 5 days after receipt of order from the Commissioner, or as the Commissioner designates and to any location within the Commissioner's precinct.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____

SIGNED: _____

PH. NO.: _____

COMPANY NAME: _____

ADDRESS: _____

SPECIFICATIONS FOR 14 Gauge STEEL CULVERTS

For

VAN ZANDT COUNTY

Steel culverts shall be galvanized and shall conform to all specifications found in item 460.3 of the TDHPT standards. Pipe must be fabricated with helical corrugations. Cut ends must be ground smooth and coated with a galvanizing product.

Bid Unit: Each

Term of Bid: Twelve (12) months beginning May 01, 2020 and ending April 30, 2021.

Bid the following diameter sizes:

12 inches
15 inches
18 inches
21 inches
24 inches
36 inches
48 inches
60 inches
72 inches

Bid both a delivered and undelivered price county-wide.

Random testing will be made to confirm compliance with specifications.

County reserves the right to reject material delivered not in compliance with specifications at vendor's expense.

Bids must be submitted on an approved bid form.

VAN ZANDT COUNTY

BID SHEET

14 Gauge STEEL CULVERTS

Please bid the materials you are willing to supply Van Zandt County by entering a bid price in the appropriate box or boxes below.

Steel Culverts	Unit	Delivered Price	Undelivered Price	Location
12" Diameter	Each	\$	\$	County Wide
15" Diameter	Each	\$	\$	County Wide
18" Diameter	Each	\$	\$	County Wide
21" Diameter	Each	\$	\$	County Wide
24" Diameter	Each	\$	\$	County Wide
36" Diameter	Each	\$	\$	County Wide
48" Diameter	Each	\$	\$	County Wide
60" Diameter	Each	\$	\$	County Wide
72" Diameter	Each	\$	\$	County Wide

Delivery will be made after receipt of order from the Commissioner, as the Commissioner designates. All orders must be delivered within five (5) working days. Emergency orders shall be delivered within two (2) working days. Delivery shall be made during normal working hours only, unless prior arrangements are made.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____

SIGNED: _____

PH. NO.: _____

COMPANY NAME: _____

ADDRESS: _____

SPECIFICATIONS FOR 16 Gauge STEEL CULVERTS

For

VAN ZANDT COUNTY

Steel culverts shall be galvanized and shall conform to all specifications found in item 460.3 of the TDHPT standards. Pipe must be fabricated with helical corrugations. Cut ends must be ground smooth and coated with a galvanizing product.

Bid Unit: Each

Term of Bid: Twelve (12) months beginning May 01, 2020 and ending April 30, 2021.

Bid the following diameter sizes:

12 inches
15 inches
18 inches
21 inches
24 inches
36 inches
48 inches
60 inches
72 inches

Bid both a delivered and undelivered price county-wide.

Random testing will be made to confirm compliance with specifications.

County reserves the right to reject material delivered not in compliance with specifications at vendor's expense.

Bids must be submitted on an approved bid form.

VAN ZANDT COUNTY

BID SHEET

16 Gauge STEEL CULVERTS

Please bid the materials you are willing to supply Van Zandt County by entering a bid price in the appropriate box or boxes below.

Steel Culverts	Unit	Delivered Price	Undelivered Price	Location
12" Diameter	Each	\$	\$	County Wide
15" Diameter	Each	\$	\$	County Wide
18" Diameter	Each	\$	\$	County Wide
21" Diameter	Each	\$	\$	County Wide
24" Diameter	Each	\$	\$	County Wide
36" Diameter	Each	\$	\$	County Wide
48" Diameter	Each	\$	\$	County Wide
60" Diameter	Each	\$	\$	County Wide
72" Diameter	Each	\$	\$	County Wide

Delivery will be made after receipt of order from the Commissioner, as the Commissioner designates. All orders must be delivered within five (5) working days. Emergency orders shall be delivered within two (2) working days. Delivery shall be made during normal working hours only, unless prior arrangements are made.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____

SIGNED: _____

PH. NO.: _____

COMPANY NAME: _____

ADDRESS: _____

SPECIFICATIONS FOR SEAL COAT -- AC-10, AC-5, AND MC3000
CHIP ROCK OR PEA GRAVEL (washed)

For

VAN ZANDT COUNTY

Bid the complete job to bring to a state of completion satisfactory to the commissioner including the following:

1. Sweeping before application of the asphalt material;
2. Rolling after application of asphalt material;
3. Sweeping after rolling as directed by the commissioner.

Bid Unit: Square yard

Bid Awards: Bids will be awarded individually by precincts.

Term of Bid: Twelve (12) months beginning May 01, 2020 and ending April 30, 2021.

Bid price to include delivery County wide as directed by commissioner.

Certificates of measurement/weight will be required.

Random testing will be made to confirm compliance with specifications.

Bidder must warranty work for a period of one year.

County reserves the right to reject material delivered not in compliance with specifications at vendor's expense.

Bids must be submitted on an approved bid form.

VAN ZANDT COUNTY
BID SHEET
SEAL COAT - AC-5
CHIP ROCK/PEA GRAVEL (washed)

Please bid the materials you are willing to supply to Van Zandt County by entering a bid price the appropriate box or boxes below.

Product	Unit	Applied Price	Location
Seal Coat AC - 5 Chip Rock	Square Yard	\$	Precinct #1
Seal Coat AC - 5 Chip Rock	Square Yard	\$	Precinct #2
Seal Coat AC-5 Chip Rock	Square Yard	\$	Precinct #3
Seal Coat AC-5 Chip Rock	Square Yard	\$	Precinct #4
Seal Coat AC-5 Pea Gravel	Square Yard	\$	Precinct #1
Seal Coat AC-5 Pea Gravel	Square Yard	\$	Precinct #2
Seal Coat AC-5 Pea Gravel	Square Yard	\$	Precinct #3
Seal Coat AC-5 Pea Gravel	Square Yard	\$	Precinct #4
Seal Coat AC-5 Pea Gravel	Square Yard	\$	County Wide

Delivery will be made after receipt of order from Commissioner, as the Commissioner designates and to any location within the Commissioner's precinct.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____ SIGNED: _____

PH. NO.: _____ COMPANY NAME: _____

ADDRESS: _____

VAN ZANDT COUNTY

BID SHEET

SEAL COAT - AC-10

CHIP ROCK/PEA GRAVEL (washed)

Please bid the materials you are willing to supply to Van Zandt County by entering a bid price the appropriate box or boxes below.

Product		Unit	Delivered Price	Location
Seal Coat AC - 10 Chip Rock		Square Yard	\$	Precinct #1
Seal Coat AC - 10 Chip Rock		Square Yard	\$	Precinct #2
Seal Coat AC-10 Chip Rock		Square Yard	\$	Precinct #3
Seal Coat AC-10 Chip Rock		Square Yard	\$	Precinct #4
Seal Coat AC-10 Pea Gravel		Square Yard	\$	Precinct #1
Seal Coat AC-10 Pea Gravel		Square Yard	\$	Precinct #2
Seal Coat AC-10 Pea Gravel		Square Yard	\$	Precinct #3
Seal Coat AC-10 Pea Gravel		Square Yard	\$	Precinct #4
Seal Coat AC-10 Pea Gravel		Square Yard	\$	County Wide

Delivery will be made after receipt of order from Commissioner, as the Commissioner designates and to any location within the Commissioner's precinct.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____ SIGNED: _____

PH. NO.: _____ COMPANY NAME: _____

ADDRESS: _____

VAN ZANDT COUNTY

BID SHEET

SEAL COAT - MC-3000

CHIP ROCK/PEA GRAVEL (washed)

Please bid the materials you are willing to supply to Van Zandt County by entering a bid price the appropriate box or boxes below.

Product		Unit	Delivered Price	Location
Seal Coat MC-3000 Chip Rock		Square Yard	\$	Precinct #1
Seal Coat MC-3000 Chip Rock		Square Yard	\$	Precinct #2
Seal Coat MC-3000 Chip Rock		Square Yard	\$	Precinct #3
Seal Coat MC-3000 Chip Rock		Square Yard	\$	Precinct #4
Seal Coat MC-3000 Pea Gravel		Square Yard	\$	Precinct #1
Seal Coat MC-3000 Pea Gravel		Square Yard	\$	Precinct #2
Seal Coat MC-3000 Pea Gravel		Square Yard	\$	Precinct #3
Seal Coat MC-3000 Pea Gravel		Square Yard	\$	Precinct #4
Seal Coat MC-3000 Pea Gravel		Square Yard	\$	County wide

Delivery will be made after receipt of order from Commissioner, as the Commissioner designates and to any location within the Commissioner's precinct.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____ SIGNED: _____

PH. NO.: _____ COMPANY NAME: _____

ADDRESS: _____

SPECIFICATIONS FOR EMULSION

For

VAN ZANDT COUNTY

Material: CRS-2P
TXDOT Item 300.2, Table 9

Bid Unit: Gallon

Term of Bid: Eight (12) Months beginning May 01, 2020
and ending April 30, 2021.

Certificates of measurement/weight will be required.

Random testing will be made to confirm compliance with specifications.

County reserves the right to reject material delivered not in compliance with specifications at vendor's expense.

Bids must be submitted on an approved bid form.

VAN ZANDT COUNTY

EMULSION

Please bid the materials you are willing to supply to Van Zandt County by entering a bid price in the appropriate box or boxes below.

ALL ITEMS MUST CONFORM TO TXDOT STANDARDS.

ALL ITEMS ARE SUBJECT TO TESTING.

ALL ITEMS WILL BE ORDERED ON AN "AS NEEDED" BASIS.

PRODUCT	UNIT	UNIT PRICE	DELIVERED PRICE
CRS-2P TXDOT Item 300.2, Table 9	Gallon	\$	\$

Delivery will be made after receipt of order from the Commissioner, as the Commissioner designates.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____

SIGNED: _____

PH. NO.: _____

COMPANY NAME: _____

ADDRESS: _____

SPECIFICATIONS FOR HOT MIX ROAD PROCEDURES FOR VAN ZANDT COUNTY

Term of Bid: Twelve (12) months beginning May 01, 2020 and ending April 30, 2021

Bid a price for each of four precincts

Certificates of measurement/weight will be required.

Random testing will be made to confirm compliance with specifications.

County reserves the right to reject material delivered not in compliance with specifications at vendor's expense.

Bids must be submitted on an approved bid form.

Bid Unit: Ton

Specifications:

- Option #1: On Clean Road
- Apply Tack Oil
 - Level Up Road with New Hot Mix Asphalt and Compact
- (Bid includes Labor, Equipment & Hauling Necessary to Perform Job)
- Option #2: On Clean Road
- Apply Tack Oil
 - Level Up Road with New Hot Mix Asphalt and Compact
 - Install 2" layer of New Compacted Hot Mix Asphalt
- (Bid Includes Labor, Equipment & Hauling Necessary to Perform Job)



PRODUCT		GRAND SALINE PCT 1	PHALBA PCT 2	WILLS POINT PCT 3	BEN WHEELER PCT 4
See specifications for options- Page 1	UOM	\$	\$	\$	\$
Option #1	Ton	\$	\$	\$	\$
		\$	\$	\$	\$
Option #2	Ton	\$	\$	\$	\$
		\$	\$	\$	\$
		\$	\$	\$	\$
		\$	\$	\$	\$
COMMENTS					

COMPANY NAME

AUTHORIZED SIGNER

EMAIL

DATE

VAN ZANDT COUNTY

BID SHEET

Recycled Crushed Concrete

Please bid the materials you are willing to supply Van Zandt County by entering a bid price in the appropriate box or boxes below. Bid Term: May 1, 2020 thru April 31, 2021.

Product	Unit	Delivered Price	Undelivered Price	Location
Recycled crushed concrete	Ton	\$	\$	Grand Saline
Recycled crushed concrete	Ton	\$	\$	Phalba
Recycled crushed concrete	Ton	\$	\$	Wills Point
Recycled crushed concrete	Ton	\$	\$	Ben Wheeler

Delivery will be made after receipt of order from the Commissioner, as the Commissioner designates. All orders must be delivered within five (5) working days. Emergency orders shall be delivered within two (2) working days. Delivery shall be made during normal working hours only, unless prior arrangements are made.

I hereby certify that this bid is authorized by the firm I represent and was made without collusion with any other bidder or prospective bidder.

DATE: _____

SIGNED: _____

PH. NO.: _____

COMPANY NAME: _____

ADDRESS: _____

FORM CIO

OFFICE USE ONLY

Date Received

A vendor commits an offense if the vendor knowingly violates Section 176.006, Local Government Code. An offense under this section is a misdemeanor.

2 ☐ Check this box if you are filing an update to a previously filed questionnaire. (The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than the 7th business day after the date on which you became aware that the originally filed questionnaire was incomplete or inaccurate.)

Name of Officer

☐ Yes

 No

☐ Yes

☐ No

6 ☐ Check this box if the vendor has given the local government officer or a family member of the officer one or more gifts as described in Section 176.003(a)(2)(B), excluding gifts described in Section 176.003(a-1).

7

Date _____

CONFLICT OF INTEREST QUESTIONNAIRE
For vendor doing business with local governmental entity

A complete copy of Chapter 176 of the Local Government Code may be found at <http://www.statutes.legis.state.tx.us/Docs/LG/htm/LG.176.htm>. For easy reference, below are some of the sections cited on this form.

Local Government Code § 176.001(1-a): "Business relationship" means a connection between two or more parties based on commercial activity of one of the parties. The term does not include a connection based on:

- (A) a transaction that is subject to rate or fee regulation by a federal, state, or local governmental entity or an agency of a federal, state, or local governmental entity;
- (B) a transaction conducted at a price and subject to terms available to the public; or
- (C) a purchase or lease of goods or services from a person that is chartered by a state or federal agency and that is subject to regular examination by, and reporting to, that agency.

Local Government Code § 176.003(a)(2)(A) and (B):

(a) A local government officer shall file a conflicts disclosure statement with respect to a vendor if:

(2) the vendor:

(A) has an employment or other business relationship with the local government officer or a family member of the officer that results in the officer or family member receiving taxable income, other than investment income, that exceeds \$2,500 during the 12-month period preceding the date that the officer becomes aware that

(i) a contract between the local governmental entity and vendor has been executed;
or

(ii) the local governmental entity is considering entering into a contract with the vendor;

(B) has given to the local government officer or a family member of the officer one or more gifts that have an aggregate value of more than \$100 in the 12-month period preceding the date the officer becomes aware that:

- (i) a contract between the local governmental entity and vendor has been executed; or
- (ii) the local governmental entity is considering entering into a contract with the vendor.

Local Government Code § 176.006(a) and (a-1)

(a) A vendor shall file a completed conflict of interest questionnaire if the vendor has a business relationship with a local governmental entity and:

- (1) has an employment or other business relationship with a local government officer of that local governmental entity, or a family member of the officer, described by Section 176.003(a)(2)(A);
- (2) has given a local government officer of that local governmental entity, or a family member of the officer, one or more gifts with the aggregate value specified by Section 176.003(a)(2)(B), excluding any gift described by Section 176.003(a-1); or
- (3) has a family relationship with a local government officer of that local governmental entity.

(a-1) The completed conflict of interest questionnaire must be filed with the appropriate records administrator not later than the seventh business day after the later of:

(1) the date that the vendor:

(A) begins discussions or negotiations to enter into a contract with the local governmental entity; or

(B) submits to the local governmental entity an application, response to a request for proposals or bids, correspondence, or another writing related to a potential contract with the local governmental entity; or

(2) the date the vendor becomes aware:

(A) of an employment or other business relationship with a local government officer, or a family member of the officer, described by Subsection (a);

(B) that the vendor has given one or more gifts described by Subsection (a); or

(C) of a family relationship with a local government officer.



HOUSE BILL 89 VERIFICATION

I, _____, the undersigned representative of
_____, hereafter referred to as company, do hereby
depose and verify under oath that the company named above, under the provisions of
Subtitle F, Title 10, Government Code Chapter 2270:

1. Does not boycott Israel currently; and
2. Will not boycott Israel during the term of the contract

Pursuant to Section 2270.001, Texas Government Code:

1. *"Boycott Israel" means refusing to deal with, terminating business activities with, or otherwise taking any action that is intended to penalize, inflict economic harm on, or limit commercial relations specifically with Israel, or with a person or entity doing business in Israel, or in an Israeli-controlled territory, but does not include an action made for ordinary business purposes; and*
2. *"Company" means a for-profit sole proprietorship, organization association, corporation, partnership, joint venture, limited partnership, limited liability partnership, or any limited liability company, including a wholly owned subsidiary, majority-owned subsidiary, parent company or affiliate of those entities or business associations that exist to make a profit.*

Signature

Printed Name

Title

Date

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,
- The U.S. grantor or other owner of a grantor trust and not the trust, and
- The U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person, do not use Form W-9. Instead, use the appropriate Form W-8 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity not subject to backup withholding, give the requester the appropriate completed Form W-8.

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS a percentage of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the Part II instructions on page 3 for details),
3. The IRS tells the requester that you furnished an incorrect TIN,
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions below and the separate instructions for the Requester of Form W-9.

Also see *Special rules for partnerships* on page 1.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account, for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Name

If you are an individual, you must generally enter the name shown on your income tax return. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

Sole proprietor. Enter your individual name as shown on your income tax return on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name/disregarded entity name" line.

Partnership, C Corporation, or S Corporation. Enter the entity's name on the "Name" line and any business, trade, or "doing business as (DBA)" name on the "Business name/disregarded entity name" line.

Disregarded entity. Enter the owner's name on the "Name" line. The name of the entity entered on the "Name" line should never be a disregarded entity. The name on the "Name" line must be the name shown on the income tax return on which the income will be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a domestic owner, the domestic owner's name is required to be provided on the "Name" line. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on the "Business name/disregarded entity name" line. If the owner of the disregarded entity is a foreign person, you must complete an appropriate Form W-8.

Note. Check the appropriate box for the federal tax classification of the person whose name is entered on the "Name" line (individual/sole proprietor, Partnership, C Corporation, S Corporation, Trust/estate).

Limited Liability Company (LLC). If the person identified on the "Name" line is an LLC, check the "Limited liability company" box only and enter the appropriate code for the tax classification in the space provided. If you are an LLC that is treated as a partnership for federal tax purposes, enter "P" for partnership. If you are an LLC that has filed a Form 8832 or a Form 2553 to be taxed as a corporation, enter "C" for C corporation or "S" for S corporation. If you are an LLC that is disregarded as an entity separate from its owner under Regulation section 301.7701-3 (except for employment and excise tax), do not check the LLC box unless the owner of the LLC (required to be identified on the "Name" line) is another LLC that is not disregarded for federal tax purposes. If the LLC is disregarded as an entity separate from its owner, enter the appropriate tax classification of the owner identified on the "Name" line.

Other entities. Enter your business name as shown on required federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name/disregarded entity name" line.

Exempt Payee

If you are exempt from backup withholding, enter your name as described above and check the appropriate box for your status, then check the "Exempt payee" box in the line following the "Business name/disregarded entity name," sign and date the form.

Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends.

Note. If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

The following payees are exempt from backup withholding:

1. An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(j)(2),
2. The United States or any of its agencies or instrumentalities,
3. A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities,
4. A foreign government or any of its political subdivisions, agencies, or instrumentalities, or
5. An international organization or any of its agencies or instrumentalities.

Other payees that may be exempt from backup withholding include:

6. A corporation,
7. A foreign central bank of issue,
8. A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States,
9. A futures commission merchant registered with the Commodity Futures Trading Commission,
10. A real estate investment trust,
11. An entity registered at all times during the tax year under the Investment Company Act of 1940,
12. A common trust fund operated by a bank under section 584(a),
13. A financial institution,
14. A middleman known in the investment community as a nominee or custodian, or
15. A trust exempt from tax under section 664 or described in section 4947.

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 15.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt payees except for 9
Broker transactions	Exempt payees 1 through 5 and 7 through 13. Also, C corporations.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 5
Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt payees 1 through 7 ²

¹ See Form 1099-MISC, Miscellaneous Income, and its instructions.

² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney, and payments for services paid by a federal executive agency.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see *Limited Liability Company (LLC)* on page 2), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note. See the chart on page 4 for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at www.ssa.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/businesses and clicking on "Employer Identification Number (EIN) under Starting a Business." You can get Forms W-7 and SS-4 from the IRS by visiting IRS.gov or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note. Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded domestic entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, below, and items 4 and 5 on page 4 indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on the "Name" line must sign. Exempt payees, see *Exempt Payee* on page 3.

Signature requirements. Complete the certification as indicated in items 1 through 3, below, and items 4 and 5 on page 4.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

**Request for Taxpayer
Identification Number and Certification**

Give Form to the
requester. Do not
send to the IRS.

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return)	
	Business name/disregarded entity name, if different from above	
	Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <input type="checkbox"/> Other (see instructions) ▶ _____	
	<input type="checkbox"/> Exempt payee	
	Address (number, street, and apt. or suite no.) City, state, and ZIP code List account number(s) here (optional)	Requester's name and address (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number										
				-						

Employer identification number										
				-						

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

Sign
Here

Signature of
U.S. person ▶

Date ▶

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued).
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.